

Export LC Closure User Guide

Oracle Banking Trade Finance Process Management

Release 14.7.5.0.0

Part No. G15303-01

September 2024

Oracle Banking Trade Finance Process Management - Export LC Closure User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

Copyright © 2018-2024, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

Contents

1. Preface	1-1
1.1 Introduction.....	1-1
1.2 Audience.....	1-1
1.3 Documentation Accessibility.....	1-1
1.4 Organization	1-1
1.5 Related Documents	1-1
1.6 Diversity and Inclusion.....	1-1
1.7 Conventions.....	1-2
1.8 Screenshot Disclaimer.....	1-2
1.9 Glossary of Icons.....	1-2
2. Oracle Banking Trade Finance Process Management	2-3
2.1 Overview.....	2-3
2.2 Benefits.....	2-3
2.3 Key Features	2-3
3. Export LC Closure	3-1
3.1 Common Initiation Stage	3-1
3.2 Registration	3-2
3.2.1 <i>Application Details</i>	3-4
3.2.2 <i>LC Details</i>	3-5
3.2.3 <i>Miscellaneous</i>	3-8
3.2.4 <i>Document Linkage</i>	3-10
3.3 Data Enrichment.....	3-14
3.3.1 <i>Main Details</i>	3-16
3.3.2 <i>Additional Fields</i>	3-20
3.3.3 <i>Advices</i>	3-22
3.3.4 <i>Additional Details</i>	3-26
3.3.5 <i>Settlement Details</i>	3-32
3.3.6 <i>Summary</i>	3-36
3.4 Multi Level Approval	3-39
3.4.1 <i>Handoff:</i>	3-42

1. Preface

1.1 Introduction

This user manual is designed to help you quickly get acquainted with Export LC Closure process in Oracle Banking Trade Finance Process Management.

1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

3. Export LC Closure

Export LC Advised by the bank may be outstanding in the system past their Expiry Date and the outstanding LC can be closed either automatically on a pre-determined schedule or manually.

In the following sections, let's look at the details for Export LC closure process.

This section contains the following topics:

3.1 Common Initiation Stage

3.2 Registration

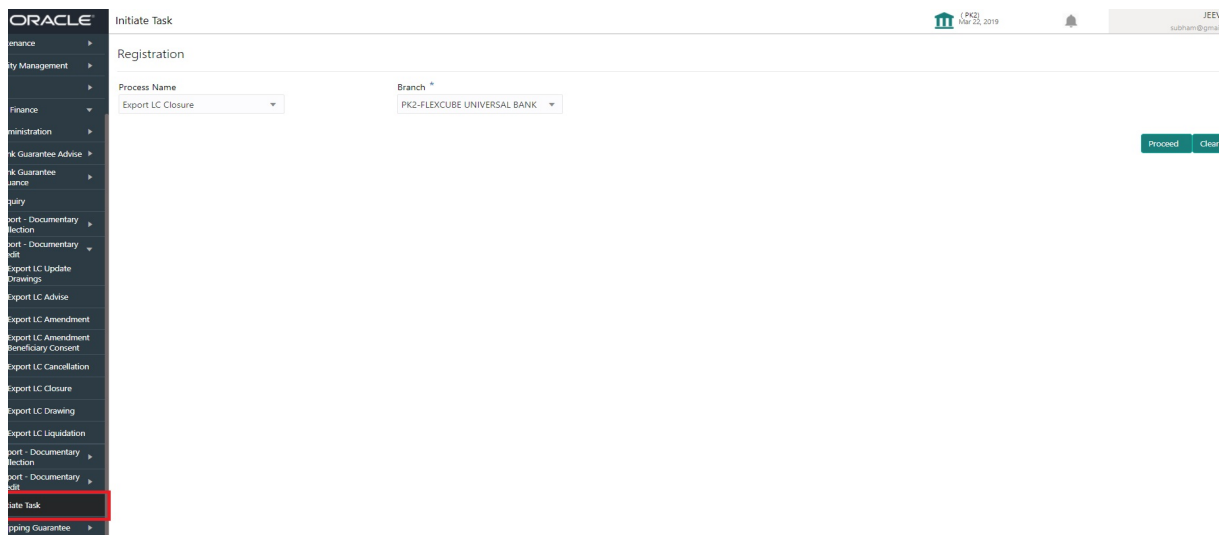
3.2.4 Document Linkage

3.4 Multi Level Approval

3.1 Common Initiation Stage

The user can initiate the new Export LC closure request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.

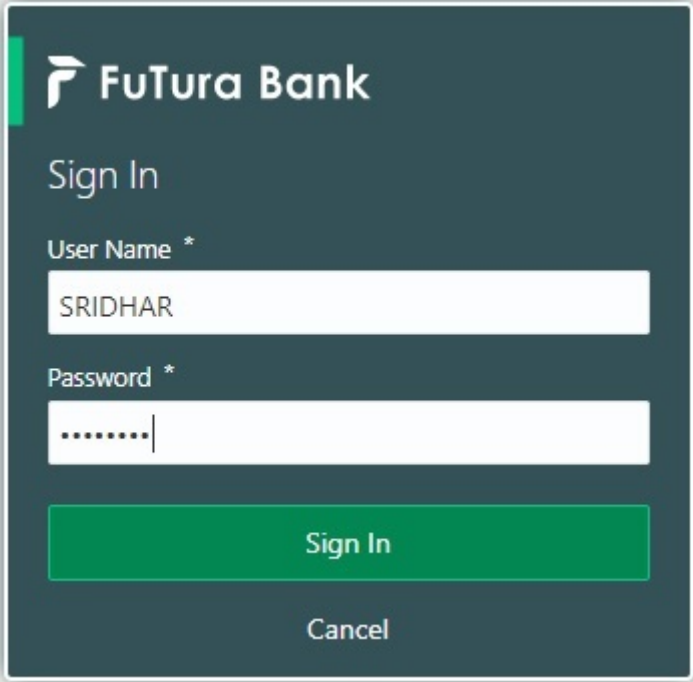
Field	Description
Clear	The user can clear the contents update and can input values again.

3.2 Registration

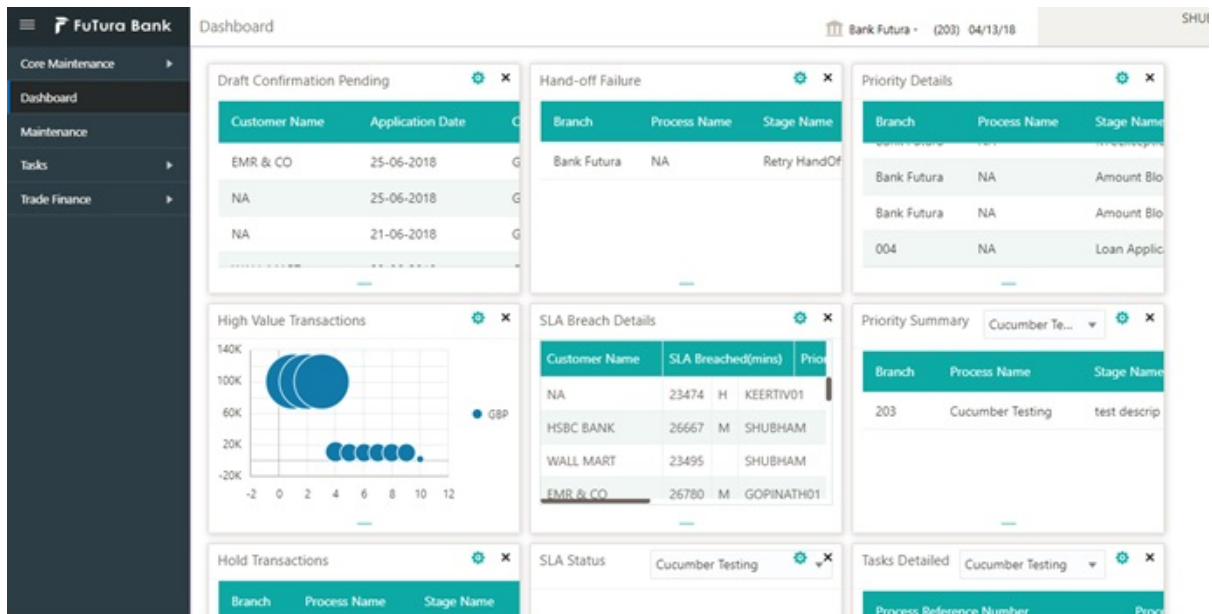
User can register request for Export LC closure in the Registration stage. During Registration stage, user can capture the basic details of the application and upload the related documents of the applicant. On submit of the request, the request will be available for an LC expert to handle the request in the next stage. The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

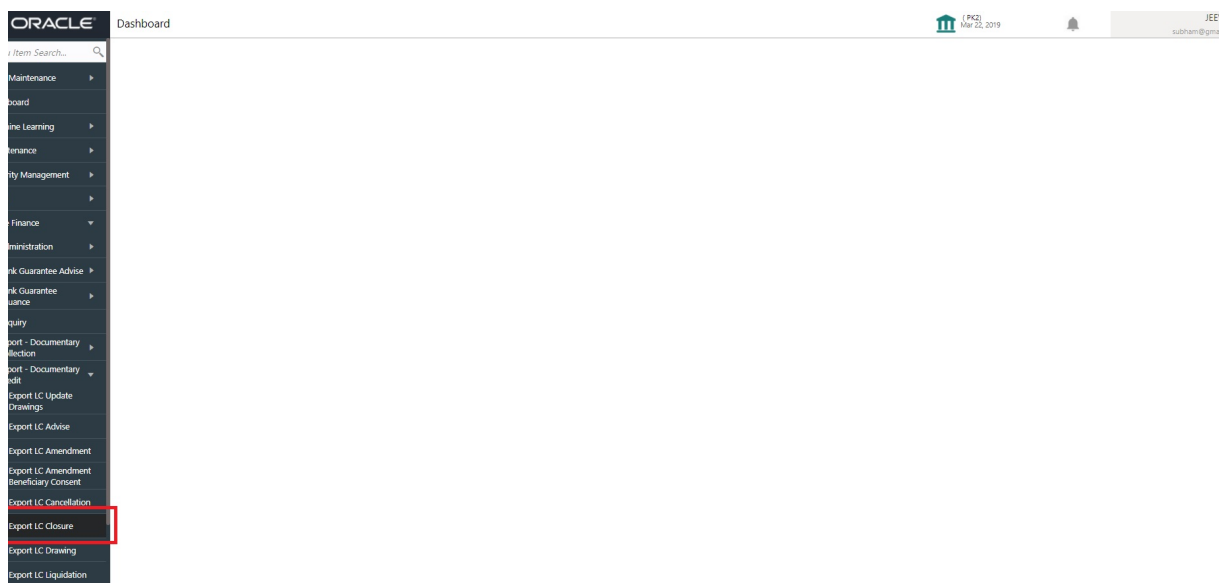
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click **Trade Finance > Export - Documentary Credit > Export LC Closure.**



The Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:

3.2.1 Application Details

Port LC Closure

Application Details

Advising Bank Reference *
ELCT232155503

Beneficiary ID

59A - Beneficiary Name

Branch
032-Oracle Banking Trade Finan...

Process Reference Number

Priority
Select

Submission Mode
Desk

Transaction Date
Aug 3, 2023

Reference Number

Issuing Bank

Details

Type
Form of Documentary Credit

Date of Issue

Applicant Bank

Percentage Credit Amount Tolerance
/

Advising Bank

Form of Documentary Credit Details

40C - Applicable Rules

50 - Applicant

Limits Required

Closure Date

Product Code

20 - Documentary Credit Number

31D - Date of Expiry

32B - Currency Code, Amount

39C - Additional Amount Covered

Product Description

Contract Reference Number

31D - Place of Expiry

Amount In Local Currency

Sender's Reference

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference	Provide the advising bank reference number of the LC to be closed. Alternatively, user can search the advising bank reference number using LOV. In the LOV, user can input Customer ID, Beneficiary name, Currency and amount or User Reference Number. System displays all the Export LC's outstanding against the given Applicant-beneficiary combination which are active and not closed.	
Beneficiary ID	Read only field. Beneficiary ID will be auto-populated from the value available in LC.	001344
Beneficiary Name	Read only field. Beneficiary Name will be auto-populated based on the value available in LC.	EMR & CO
Branch	Read only field. Branch details will be auto-populated based on the Export LC details.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	

Field	Description	Sample Values
Priority	System will default the Priority as Low/Medium/High based on customer priority maintenance.	High
Submission Mode	<p>The submission mode of Export LC Closure request. By default the submission mode will have the value as 'Desk', for the transactions created via registration. User can change the defaulted priority.</p> <p>Desk - Request received through Desk</p> <p>Fax - Request received through Fax</p> <p>Email - Request received through Email</p> <p>Courier- Request received through Courier</p>	Desk
Transaction Date	By default, the application will display branch's current date. User cannot change the date to a back date or a future date. System should change the date to branch date as on approval if the task is approved on a date later than the registration date.	04/13/2018
User Reference Number	<p>Read only field.</p> <p>User Reference Number will be auto populated by the system based on the selected Export LC.</p>	
Issuing Bank	<p>Read only field.</p> <p>Issuing Bank details will be auto-populated based on the value available in Export LC.</p>	

3.2.2 LC Details

Registration user can view the latest LC values defaulted in the respective fields in the LC Details section. All the fields in this section are read only.

The screenshot displays the 'LC Details' form with the following fields and controls:

- Form Type:** A dropdown menu.
- Form of Documentary Credit:** A text field.
- Date of Issue:** A date picker.
- Applicant Bank:** A text field.
- Percentage Credit Amount Tolerance:** A text field with a slash (/) separator.
- Close:** A button.
- Advising Bank:** A text field.
- Form of Documentary Credit Details:** A text field.
- 40C - Applicable Rules:** A dropdown menu.
- 50 - Applicant:** A text field.
- Limits Required:** A toggle switch.
- Closure Date:** A date picker.
- Product Code:** A text field.
- 20 - Documentary Credit Number:** A text field.
- 31D - Date of Expiry:** A date picker.
- 32B - Currency Code, Amount:** A text field.
- 39C - Additional Amount Covered:** A text field.
- Product Description:** A text field.
- Contract Reference Number:** A text field.
- 31D - Place of Expiry:** A text field.
- Amount In Local Currency:** A text field.
- Sender's Reference:** A text field.
- Buttons:** Hold, Cancel, Save & Close, and Submit.

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based on the latest LC details.	
Advising Bank	Read only field. The advising bank details of the selected Export LC.	
Product Code	Read only field. This field displays the product code used during issuance.	
Product Description	Read only field. This field displays the description of the product as per the product code displayed as in export LC issuance advice.	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details available in Export LC.	
Form of Documentary Credit Details	Read only field. This field displays the form of documentary credit details, if confirmation details are received from advising bank.	
Documentary Credit Number	Read only field. The documentary credit number as available in Export LC.	
Customer Reference Number	The 'Reference number' provided by the beneficiary bank. The unique Customer Reference Number which will be the User Reference Number in LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	Read only field. The rules of the selected Export LC as per the latest LC details.	
Date Of Expiry	Read only field. The expiry date as per the latest LC details. The Export LC should be allowed to be closed only if the LC is expired.	
Place of Expiry	Read only field. The place of expiry as per the latest LC details.	

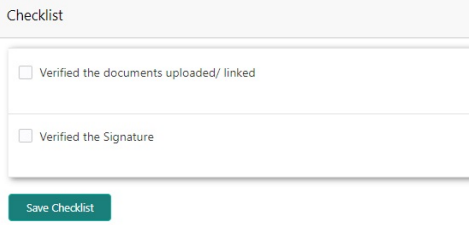
Field	Description	Sample Values
Applicant Bank	Read only field. The applicant bank details if available as per the latest LC details.	
Applicant	Read only field. The details of the applicant as per the latest LC details.	
Currency Code, Amount	Read only field. The currency code and LC amount as per the latest LC details.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Percentage Credit Amount Tolerance	Read only field. The percentage credit amount tolerance details as per the latest LC details.	
Limits Required	Read only field. Limits Required (Y/N) will be auto-populated as per the latest LC details.	
Additional Amount Covered	Read only field. The details of additional amount covered as per the latest LC details.	
Sender's Reference	Read only field. The sender's reference value as in underlying LC.	
Auto Close	Read only field. System default the value from the previous versions of the contracts.	
Closure Date	Read only field. System default the value from the previous versions of the contracts.	

3.2.3 Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Documents	<p>Upload the required documents. System displays the mandatory and optional documents that have been maintained in Document Maintenance. If mandatory documents are not uploaded, system should display an error on submit. The possible documents submitted under an Export LC closure request are:</p> <p>Export LC Closure Request</p>	
Remarks	<p>Provide any additional information regarding the amendment request. This information can be viewed by other users processing the request.</p>	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	Enables the user to view the latest snap shot of the LC details in a page.	
View LC Events	Enables the user to view the latest snap shot of the various events under the LC.	
Action Buttons		
Submit	<p>On submit, task will get moved to next logical stage of Export LC Closure.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and displays the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancels the Export LC Closure Registration stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from beneficiary/customer and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

3.2.4 Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.

Documents

Document Status
All

Letter of Credit
Pro-forma Invoice

Letter of Credit
Application Form

Close

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type *
Letter of Credit

Document Title *

Remarks

Drop files here or click to select

Selected files: []

Document Code *
Insurance Policy

Document Description

Document Expiry Date

Link Document

Upload
Link
Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	

Field	Description	Sample Values
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.
The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

Link Document

Customer Id *

032204

Document Type *

Document Id

Document Code *

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
No data to display.						

Page

1

(0 of 0 items)

<

1

>

>

Close

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	

Field	Description	Sample Values
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id *

032204

Document Id

Document Type *

Documentary Collection

Document Code *

Insurance Policy

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
Link	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
Link	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
Link	4145	032204		INSURANCE	May 8, 2023	032ILCU000032042
Link	4305	032204		INSURANCE	May 10, 2023	032IDCB000033105

Page 1 of 2 (1-5 of 7 items)

<

1

2

>

Close

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id	Document Title
2400	wqwq
Application Reference Number	Entity Reference Number
PK2ILCI000019041	PK2ILCI000019041
Document Type Id	Document Description
TTPM_DOCTYPE001	
Remarks	Document Expiry Date
	Jun 29, 2022
<div>Drop files here or click to select</div>	
Current selected files: []	

Update

Cancel

3.3 Data Enrichment

On successful completion of Registration of an Export LC Closure request, the task moves to Data Enrichment stage. At this stage the gathered information during Registration are scrutinized.

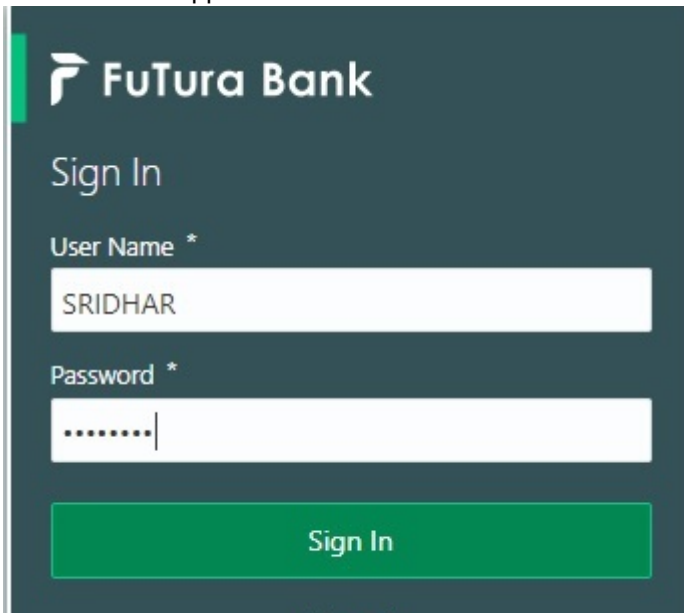
As a part of Data Enrichment, the user can review and input/enrich Export LC closure request. The user can also verify the basic details of closure request and can verify if the request can be progressed further. The transaction will have the details entered during the registration stage.

Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

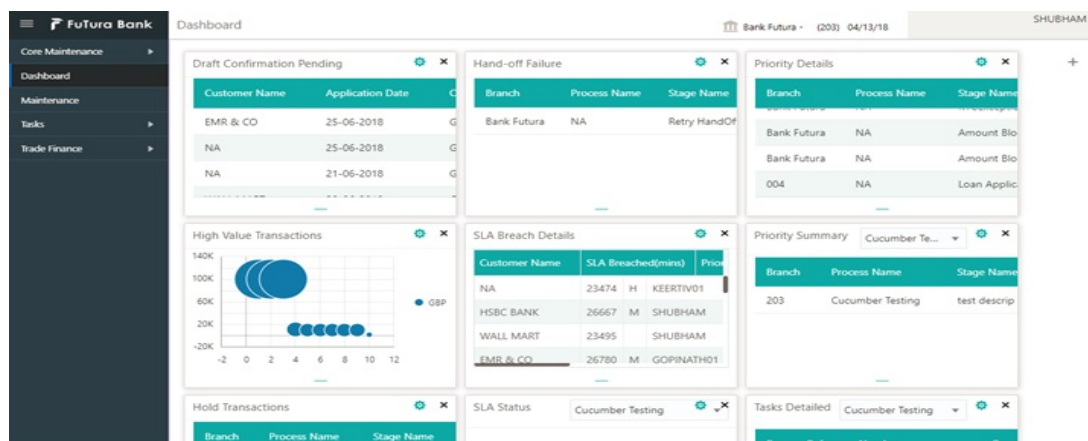
SRIDHAR

Password *

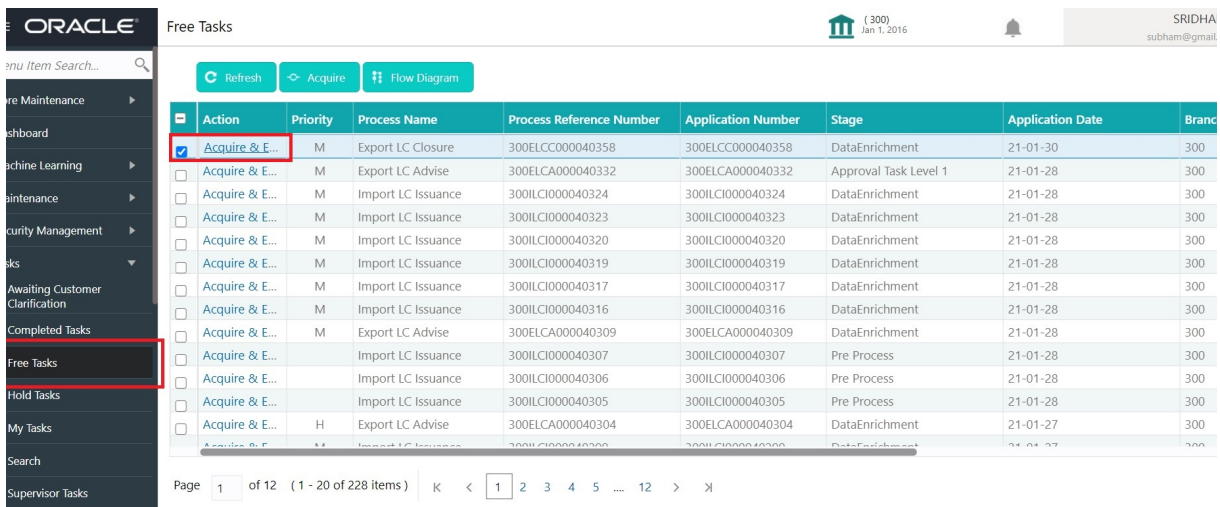
.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance> Tasks> Free Tasks**.



Free Tasks

Oracle (300) Jan 1, 2016 SRIDHA subham@gmail

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
<input checked="" type="checkbox"/> Acquire & E...	M	Export LC Closure	300ELCC000040358	300ELCC000040358	DataEnrichment	21-01-30	300
<input type="checkbox"/> Acquire & E...	M	Export LC Advise	300ELCA000040332	300ELCA000040332	Approval Task Level 1	21-01-28	300
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000040324	300ILCI000040324	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000040323	300ILCI000040323	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000040320	300ILCI000040320	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000040319	300ILCI000040319	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000040317	300ILCI000040317	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000040316	300ILCI000040316	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & E...	M	Export LC Advise	300ELCA000040309	300ELCA000040309	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000040307	300ILCI000040307	Pre Process	21-01-28	300
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000040306	300ILCI000040306	Pre Process	21-01-28	300
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000040305	300ILCI000040305	Pre Process	21-01-28	300
<input type="checkbox"/> Acquire & E...	H	Export LC Advise	300ELCA000040304	300ELCA000040304	DataEnrichment	21-01-27	300
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000040300	300ILCI000040300	DataEnrichment	21-01-27	300

Page 1 of 12 (1 - 20 of 228 items) K < 1 2 3 4 5 ... 12 > X

- Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
<input checked="" type="checkbox"/> Acquire & Edit	M	Export LC Closure	300ELCC000040358	300ELCC000040358	DataEnrichment	21-01-30	300
<input type="checkbox"/> Acquire & Edit	M	Export LC Advise	300ELCA000040332	300ELCA000040332	Approval Task Level 1	21-01-28	300
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000040324	300ILCI000040324	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000040323	300ILCI000040323	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000040320	300ILCI000040320	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000040319	300ILCI000040319	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000040317	300ILCI000040317	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000040316	300ILCI000040316	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & Edit	M	Export LC Advise	300ELCA000040309	300ELCA000040309	DataEnrichment	21-01-28	300
<input type="checkbox"/> Acquire & Edit		Import LC Issuance	300ILCI000040307	300ILCI000040307	Pre Process	21-01-28	300
<input type="checkbox"/> Acquire & Edit		Import LC Issuance	300ILCI000040306	300ILCI000040306	Pre Process	21-01-28	300
<input type="checkbox"/> Acquire & Edit		Import LC Issuance	300ILCI000040305	300ILCI000040305	Pre Process	21-01-28	300
<input type="checkbox"/> Acquire & Edit	H	Export LC Advise	300ELCA000040304	300ELCA000040304	DataEnrichment	21-01-27	300

- The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
<input checked="" type="checkbox"/> Edit	M	Export LC Closure	300ELCC000040358	300ELCC000040358	DataEnrichment	21-01-30	300
<input type="checkbox"/> Edit	M	Export LC Closure	300ELCC000040357	300ELCC000040357	Registration	21-01-30	300
<input type="checkbox"/> Edit	M	Guarantee Issuance	000GTEI000040245	000GTEI000040245	Scrutiny	21-01-25	300
<input type="checkbox"/> Edit	M	Guarantee Issuance	CR1GTEI000040244	CR1GTEI000040244	Scrutiny	21-01-25	300
<input type="checkbox"/> Edit	M	Guarantee Issuance	000GTEI000040243	000GTEI000040243	Scrutiny	21-01-25	300
<input type="checkbox"/> Edit	M	Guarantee Issuance	000GTEI000040242	000GTEI000040242	Scrutiny	21-01-25	300
<input type="checkbox"/> Edit	M	Guarantee Amendment	300GTEA000040239	300GTEA000040239	DataEnrichment	21-01-25	300
<input type="checkbox"/> Edit		Guarantee Amendment	300GTEA000040238	300GTEA000040238	Registration	21-01-25	300
<input type="checkbox"/> Edit	M	Import LC Amendment	300ILCA000039076	300ILCA000039076	AmountBlock Exception App...	20-11-25	300
<input type="checkbox"/> Edit	M	Export LC Liquidation	300ELCL000039939	300ELCL000039939	DataEnrichment	21-01-11	300
<input type="checkbox"/> Edit		Import Documentary C...	300IDCU000039923	300IDCU000039923	Registration	21-01-09	300
<input type="checkbox"/> Edit		Import LC Drawing Upd...	300ILCU000039922	300ILCU000039922	Registration	21-01-09	300
<input type="checkbox"/> Edit		Import LC Drawing Upd...	300ILCU000039921	300ILCU000039921	Registration	21-01-09	300

The Data Enrichment stage has six sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following sections. Some of the fields that are already having value from Registration/online channels may not be editable.

3.3.1 Main Details

Main details section has three sub section as follows:

- Application Details
- LC Details

This section provides a quick snapshot of details of LC. This Application section will be available in all the sections of Data Enrichment stage and the fields will be read only. This section is collapsible.

3.3.1.1 Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [3.2.1 Application Details](#) for more information of the fields.

Following fields are the additional new fields apart from the fields carried over from [3.2.1 Application Details](#) of [3.2 Registration](#). Provide the details for the two additional fields based on the description in the following table:

Field	Description	Sample Values
52a Issuing Bank	This field displays the issuing bank as available in LC.	

3.3.1.2 LC Details

The fields listed under this section are same as the fields listed under the [3.2.2 LC Details](#) section in [3.2 Registration](#). Refer to [3.2.2 LC Details](#) for more information of the fields. All fields displayed under LC Details section, would be read only.

3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the selected stage user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from beneficiary/customer and appropriate remarks must be provided.</p>	
Cancel	Cancel the Data Enrichment stage inputs.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for export LC Closure. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
View LC	Enables the user to view the latest snap shot of the LC details in a page.	
View LC Events	Enables the user to view the latest snap shot of the various events under the LC.	

3.3.2 Additional Fields

3.3.2.1 Any user defined fields mapped as per bank's requirement can be viewed in this section.

The screenshot displays the Oracle LC Closure - DataEnrichment application interface. The top header includes the Oracle logo, 'My Tasks', a date 'Mar 22, 2019', and a user profile 'SRIDH/ subham@gmail'. Below the header, the application title 'LC Closure - DataEnrichment :: Application No: PK2ELCC000042978' is shown. A navigation bar contains tabs: Documents, Remarks, Overrides, Customer Instruction, Common Group Messages, and Signatures. On the left, a sidebar menu lists: Main Details, Additional Fields (selected), Advices, Additional Details, Settlement Details, and Summary. The main content area is titled 'Additional Fields' and shows 'No Additional fields configured!'. At the bottom, there is a row of action buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, and No.

3.3.2.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">● R1- Documents missing● R2- Signature Missing● R3- Input Error● R4- Insufficient Balance/Limits● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the any of the previous stages user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from beneficiary/customer and appropriate remarks must be provided.</p>	
Cancel	Cancel the Data Enrichment stage inputs.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for export LC Closure. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
View LC	Enables the user to view the latest snap shot of the LC details in a page.	
View LC Events	Enables the user to view the latest snap shot of the various events under the LC.	

3.3.3 Advices

This section defaults the advices maintained for the product/event simulated from the advices maintained at the Product level.

My Tasks

(PK2) Mar 22, 2019

SRIDHA
subham@gmail

ort LC Closure - DataEnrichment :: Application No: PK2ELCC000042978

Documents
Remarks
Overrides
Customer Instruction
Common Group Messages
Signatures

Main Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Advice : DEBIT_ADVICE

Advice Name : DEBIT_ADVICE
Advice Party : ABK
Party Name : WELLS FARGO LA
Suppress : NO
Advice

edit

Request Clarification
Reject
Refer
Hold
Cancel
Save & Close
Back
Ne

Screen (3

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

Party ID

032204

Advice Name

AMD_EXP_CR

Medium

MAIL

Advice Party

BEN

Party Name

Air Arabia

FFT Code

FFT Code	FFT Description		Action
29BNKCONTACT			

Instructions



Instruction Code	Instruction Description	Edit	Action
E02	. IN REIMBURSEMENT PLEASE TELE-REMIT THE FUNDS		

OK

Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Export LC. User can update if required.	
Party ID	Value be defaulted from Export LC Advise. User can update if required.	
Party Name	Read only field. Value be defaulted from Export LC Advise.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	

Instruction Details

Field	Description	Sample Values
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the previous stage. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from beneficiary/customer and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Cancel	Cancel the Data Enrichment stage inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for export LC Closure. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	

Field	Description	Sample Values
Signature	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
View LC	Enables the user to view the latest snap shot of the LC details in a page.	
View LC Events	Enables the user to view the latest snap shot of the various events under the LC.	

3.3.4 Additional Details

In the Additional details section, user can update and verify the additional details Data Segment of Export LC Closure request.

3.3.4.1 Limits & Collateral

Details of Limit, Collateral and deposits linked to the LC which is displayed in this section.

All the field values are display only and user can not modify the values.

3.3.4.2 Commission, Charges and Taxes

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and Taxes

Recalculate Redefault

Commission Details

Component

Rate

Modified Rate

Currency

Amount

Modified

Defer

Waive

Charge Party

Settlement Account

No data to display.

Page 1 (0 of 0 items) < 1 >

Charge Details

Component

Tag currency

Tag Amount

Currency

Amount

Modified

Billing

Defer

Waive

Charge Party

Settlement Account

No data to display.

Page 1 (0 of 0 items) < 1 >

Tax Details

Component

Type

Value Date

Currency

Amount

Billing

Defer

Settlement Account

No data to display.

Save & Close Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant	
Settlement Account	Details of the settlement account.	

3.3.4.3 Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	<p>If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

3.3.4.4 Linked Loan Details

This user can view the details of linked loan accounts.

linked Loan Details >					
# Linked Loan Details					
Drawing Reference Number	Drawing Currency	Drawing Amount	Loan Account	Loan Currency	Loan Amount
No data to display.					

Provide the linked loan details based on the description in the following table:

Field	Description	Sample Values
Drawing Reference Number	Drawing reference number is displayed.	
Drawing Currency	Drawing currency is displayed.	
Drawing Amount	Drawing amount is displayed.	
Loan Account	The details of the linked loan account.	
Loan Currency	Loan Currency of the linked loan account.	
Loan Amount	Loan amount of the linked loan account.	

3.3.4.5 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the previous stages user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the Data Enrichment stage inputs.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for export LC Closure. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
View LC	Enables the user to view the latest snap shot of the LC details in a page.	
View LC Events	Enables the user to view the latest snap shot of the various events under the LC.	

3.3.5 Settlement Details

System simulates the settlement details from back office and display the same in this screen.

Oracle Settlement Details Screen (5)

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	E
AELAC_COMM_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CI	GBP	No	No		
COLLAMT_OSEQ	GBP	Debit	PK1000325025	NATIONAL FREIGHT CI	GBP	No	No		
COLL_AMNDAMTEQ	GBP	Debit	PK1000325025	NATIONAL FREIGHT CI	GBP	No	No		
COLL_AMT	GBP	Debit	PK1000325025	NATIONAL FREIGHT CI	GBP	No	No		
COLL_AVALAMTEQ	GBP	Credit	PK1000325025	NATIONAL FREIGHT CI	GBP	No	No		
LCADVBC_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CI	GBP	No	No		
LCXADV_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CI	GBP	No	No		

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	System defaults the current event as Y or N.	

Field	Description	Sample Values
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

3.3.5.1 Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

3.3.5.2 Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

3.3.5.3 Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

3.3.5.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the previous stages user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for export LC Closure. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
View LC	Enables the user to view the latest snap shot of the LC details in a page.	
View LC Events	Enables the user to view the latest snap shot of the various events under the LC.	

3.3.6 Summary

User can review the summary of details updated in Export LC Closure request.

The user can view the summary tiles in the Summary section. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

ORACLE Free Tasks (DEFAULT) 80% (PKZ) Day 4, 2019 + [Reset](#) SRIDHA subham@gmail

Port LC Closure - DataEnrichment :: Application No: PK2ELCC000058345 Overrides

Main Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

Summary

Main Details	Parties Details	Limits and Collaterals	Commission,Charges and Taxes
Form of LC : S	Confirming Bank : WELLS FARG	Limit Currency : GBP	Charge : Not Available
Submission Mode : Desk	Beneficiary : GOODCARE PLC	Limit Contribution : 1001	Commission : Not Available
Date of Issue : 2019-03-22	Applicant : MARKS AND	Limit Status : Not Verified	Tax : Not Available
Date of Expiry : 2019-03-28		Collateral Currency : GBP	Block Status : Not Available
		Collateral Contr. : 80.08	
		Collateral Status : Not Verified	

Preview Message	Accounting Details	Settlement Details	Linked Loan Details
Language : ENG	Event :	Component :	Loan Account :
Preview Message : -	Account Number :	Account Number :	Loan Currency :
	Branch :	Currency :	Loan Amount :

audit

[Reject](#)
[Refer](#)
[Hold](#)
[Cancel](#)
[Save & Close](#)
[Back](#)
[Next](#)
[Submit](#)

Tiles Displayed in Summary

- **Main Details** - User can view the details about application and LC details. User can only view but cannot edit any of the details.
- **Party Details** - User can view party details like beneficiary, advising bank etc. User can only view but cannot edit any of the details.
- **Limits and Collaterals** - User can view the limits and collateral details. User can only view but cannot modify the details.
- **Commission, Charges and Taxes** - User can view the charge details. User can only view but cannot modify the details.
- **Advices** - User should be able to see details of the Advice.
- **Preview Message** - User can view the details of Preview Message.
- **Accounting Entries** - User can view the accounting entries.
- **Settlement Details** - User can view the settlement details.

3.3.6.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the previous stage user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	Cancel the DE stage inputs.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Submit	<p>On Submit, system will give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Closure.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for export LC Closure. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
View LC	Enables the user to view the latest snap shot of the LC details in a page.	
View LC Events	Enables the user to view the latest snap shot of the various events under the LC.	

3.4 Multi Level Approval

The Approval user can review and approve a Export Closure request.

Log in into OBTFPM application to view and acquire the task to see the summary tiles. If rekey authorization set up is available, then on acquire of task it will land on the rekey authorization screen else the task will land on the summary screen.

The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

The user should be able to view the Approval summary.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

3.4.0.1 Summary

Free Tasks

(DEFAULTTNTTY)
 (PK2) May 6, 2019

SRIDHAR
subham@gmail.c

Port LC Closure - Approval Task Level 1 :: Application No: PK2ELCC000058345

Main Details	Parties Details	Limits and Collaterals	Commission,Charges and Taxes	Preview Message
m of LC : S mission Mode : Desk te of Issue : 2019-03-22 te of Expiry : 2019-03-28	Beneficiary : GOODCARE PLC Applicant : MARKS AND Confirming Bank : WELLS FARG	Limit Currency : GBP Limit Contribution : 1001 Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 80.08 Collateral Status : Not Verified	Charge : Not Available Commission : Not Available Tax : Not Available Block Status : Not Available	Language : ENG Preview Message : -
Accounting Details	Settlement Details	Linked Loan Details		
ent : ount Number : nch :	Component : Account Number : Currency :	Loan Account : Loan Currency : Loan Amount :		

Reject Refer Hold Approve Back Next

Tiles Displayed in Summary

- Main Details - User can view the details about application and LC details. User can only view but cannot edit any of the details.
- Party Details - User can view party details like beneficiary, advising bank etc. User can only view but cannot edit any of the details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Commission, Charges and Taxes - User can view the charge details. User can only view but cannot modify the details.
- Advices - User should be able to see details of the Advice.
- Preview Message - User can view the details of Preview Message.Accounting Entries - User can view the accounting entries.
- Settlement Details - User can view the settlement details.

3.4.0.2 Documents and Checklist

Based on the transaction value, there can be one or more approvers. After verification, on submit the transaction gets approved and if there are additional approvals, the task will move

to the next approver. After all approvals, the system will hand-off the transaction details to the back end system for posting.

- Documents: Approval user can open the uploaded documents and verify them.
- Checklist: Verify the uploaded documents.
- Remarks: Approval user can view the remarks captured in the process during earlier stages.

3.4.0.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

3.4.1 Handoff:

On Approve, the task is handed off to the back office for postings. In the back office, the relevant accounting entries are posted, advises are generated, charges and tax to be collected are posted.

In case there is a failure in Handoff, the task lands to retry handoff queue. The user can manually try to initiate handoff.

A	Miscellaneous	8
Additional Details	20	
Action Buttons	27	
Charge Details	24	
Additional Fields	15	
Action Buttons	15	
Advices	17	
Action Buttons	18	
Application Details	4	
B		
Benefits	1	
C		
Charge Details	24	
Commission Details	25	
Common Initiation Stage	2	
Action Buttons	2	
D		
Data	9	
Data Enrichment	9	
E		
Export LC Amendment	2	
G		
Guarantee Preferences		
Demand Indicator	17	
H		
Handoff	36	
K		
Key Features	1	
L		
LC Details	6	
Limits & Collateral	20	
M		
Main	12	
Main Details	12	
Action Buttons	14	
Application Details	12	
LC Amendment Details	13	
LC Details	13	
O		
Overview	1	
R		
Registration	3	
Action Buttons	8	
Application Details	4	
Miscellaneous	8	
S		
Settlement	28	
Settlement Details	28	
T		
Tax Details	26	